How to win an NIH grant – A reviewer's perspective

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Who am I?

- Study section member for about 5 years (off now)
- Recipient of my own R01 grant (originally an R29 young investigator grant)
- Recent unsuccessful applicant for new grant ⊗
- PI of a T32 training grant, a T35 Summer Internship Grant and an R25 IMSD grant
- Benefited from advice from many senior colleagues
- Offered advice to many junior ones!

Elements of an R01 grant

- Title pages, including abstract
- Budget
- CVs (PI and key personnel)
- Four main (scientific) sections
 - A. Specific Aims
 - B. Background/Significance
 - **C.** Preliminary Studies
 - D. Methods
- Human and animal subjects
- Literature Cited
- Appendix

What do I do when Ann sends me a grant to review?

- Read the abstract, specific aims and background/significance sections to get a sense of whether there is something exciting and important being proposed
 - Is the problem important?
 - Does PI understand the motivating subject matter?
 - Is PI connected to subject matter scientists (potential for application)?
 - Are the goals concrete and achievable?
 - Will the work have an impact?
 - Are there motivating datasets?
 - Is the proposed work new? Creative?
- This is significance element of the review criteria

What do I do next?

- Once significance is established, I evaluate the approach
 - Is there a clear and appropriate plan?
 - Does the applicant know the literature?
 - Has the applicant overlooked any major pitfalls or potential problems? Have they appropriately considered alternative approaches?
- How can I tell?
 - Section C (preliminary studies) tells me whether the approach has been at least partially tested out
 - Section D (methods) provides the details of exactly what is to be done.

What else am I looking for?

- Innovation like to see creativity and imagination (but not too much!). Good to address problems that are a little "different"
- Investigator like to see a strong track record (senior investigator), or strong potential (junior investigator)
 - Papers
 - Past grants/collaborations
- Environment will it facilitate the work?
 - Collaborating investigators (subject matter and statistical), evidenced by co-investigators on the grant, or at least letters of support
 - Applied projects that provide real work motivation and data

A few special issues

- New investigators
- Writing style
- Dissemination plans
- Revised proposals

New investigators?

- More emphasis on potential than track record
- Involvement of senior colleagues as mentors
- Supportive institutional environment
- A little leniency in terms of detailed plans

Writing and presentation

Very important

- Helps the reviewer!
- Gives confidence that the work can be achieved
- Speaks to applicant's ability to think through and present a logical plan

Dissemination plans

- Does the applicant have a good publication track record?
- Do they have a record of publishing in subject matter as well as statistical journals? Do they describe plans for this?
- Will they make software available? Do they know what this involves?

What if you don't get funded the first time? (most don't!)

- Cry, brush off your ego and gear up to try again!
- Read the critique carefully, objectively, perhaps with a colleague. Typical issues include
 - Lack of significance/motivation
 - Vague plans
 - Lack of detail
 - Occasionally, scientific disagreement
- Talk to your NIH Project Officer

What if I am reviewing a revised proposal?

- Responsiveness
- Responsiveness
- Responsiveness
- Don't criticize the reviewers!

Preparing for a grant submission

- A year ahead of time, start thinking about your general focus. Find an important area where you are qualified to contribute
 - Seek advice of senior colleagues
 - Read successful grants (junior and senior)
 - Talk to NIH people
 - Look at NIH websites
- Block out time prior to submission
- Circulate your specific aims 4 months ahead of submission date. Seek advice
- Finish your first draft 2 months ahead. Seek advice and detailed input

My own experience

- Writing a grant is a lot of work, but it is
 - Satisfying
 - A focusing experience
 - Part of the process of research
- Study section critique can hurt, but it is wise to listen
- My recent unsuccessful experience?
 Lack of devoted time led to
 - Sloppy writing
 - Lack of detail

I plan to try again!

Other Grant Mechanisms

- R03 grants
- Biostatistical Cores in PPGs and Center Grants

Training Grants

- Program must be very strong (well focussed training plan, lots of good graduates)
- Must have strong grounding in applications.
 Most training grants are institute-specific
- PI must have strong training and administrative experience
- PI must have strong scientific record
- Should have lots of strong mentors
- Minority training component must be strong
- School should be supportive

Best of luck!!